

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
025104-03-DCL

In Re Application Of: **D. Georgiades, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/792,362	03/03/2004	Not yet assigned	29668	NYA	None

Title:

FILM PRODUCTS HAVING CONTROLLED DISINTEGRATION PROPERTIES



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

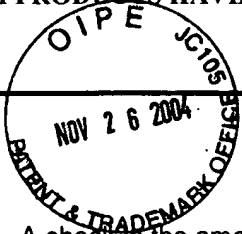
1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 025104-03-DCL	
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10/792,362	03/03/2004	Not yet assigned	29668	NYA	None	

FILM PRODUCTS HAVING CONTROLLED DISINTEGRATION PROPERTIES



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of _____ is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-0458**

as described below.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

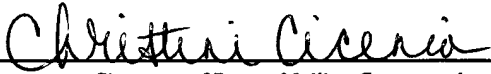
 (Date)

 Signature

 Typed or Printed Name of Person Signing Certificate

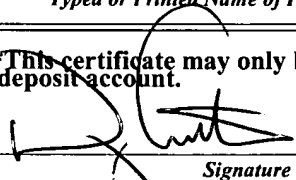
Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **11/24/04** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


 Signature of Person Mailing Correspondence

Christine Ciceria
 Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.



 Signature

Dated: **11/24/04**

Darryl C. Little, Reg. No.: 40,703

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CC:



Docket No.: PC025104-03-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Serial No.: 10/792,362

Group Art Unit: Not yet assigned

Filed: March 3, 2004

Examiner: Not yet assigned

For: FILM PRODUCTS HAVING CONTROLLED DISINTEGRATION PROPERTIES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. §§ 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION 1.8

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 24th day of November, 2004.

By:

Christine M. Cicerio
Christine M. Cicerio

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The following are also included, if applicable, and as required under 37 C.F.R. § 1.98:

- ___ In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- ___ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the ___ reference:
- ___ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously cited by or submitted to the Office in the ___ prior application, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

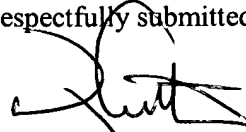
- ___ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).
- ___ I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).

Please credit any overpayment or charge any additional fees to Deposit Account No.:
23-0458.

Date:

11/24/04

Respectfully submitted,



Darryl C. Little
Reg. No. 40,703
Attorney For Applicant

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CUSTOMER NO.: 29668



Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF (Rev. 2-32) COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. PC025104-03-DCL	SERIAL NO. 10/792,362
	APPLICANT C. Georgiades et al.	
	FILING DATE March 3, 2004	GROUP Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,700,478	12/23/97	Biegajski			
		5,948,430	9/7/99	Zerbe			
		6,177,096	1/23/01	Zerbe			
		6,284,264	9/4/01	Zerbe			
		5,879,691	3/9/99	Sagel			
		5,891,453	4/6/99	Sagel			
		5,894,017	4/13/99	Sagel			
		6,136,297	10/24/00	Sagel			
		6,096,328	8/1/00	Sagel			
		6,551,579	4/22/03	Sagel			
		2003/0068284	4/10/03	Sagel			
		5,989,569	11/23/99	Dirksing			
		6,045,811	4/4/00	Dirksing			
		6,562,363	5/13/03	Mantelle			
		2004/0018241	1/29/04	Houze			
		2002/0006388	1/17/02	Sagel			
		6,689,344	2/10/04	Chang			
		6,682,721	1/27/04	Kim			
		2003/0198606	10/23/03	Kim			
		6,582,708	6/24/03	Sagel			
		6,419,906	7/16/02	Xu			
		6,503,486	1/7/03	Xu			
		6,514,483	2/4/03	Xu			
		2002/0146666	10/10/02	Sagel			
		6,585,997	7/1/03	Moro			
		2004/0062724	4/1/04	Moro			
		2004/0086468	5/6/04	Prosise			
		2003/228264	12/11/03	Perna			
		2004/0120903	6/24/04	Sagel			
		2003/0133893	7/17/03	Nir			
		2003/0107149	6/12/03	Yang			
		2004/0105834	6/3/04	Singh			
		6,596,298	7/22/03	Leung			
		2003/0059381	3/27/03	Goodhart			

		2003/0219389	11/27/03	Sagel			
		2003/0211056	11/13/03	Sagel			
		2002/0012685	1/31/02	Sagel			
		2001/0053375	12/20/01	Sagel			
		2002/0018754	2/14/02	Sagel			
		2002/0006387	1/17/02	Sagel			
		6,780,401	8/24/04	Kim			
		2004/0136927	7/15/04	Kim			
		2003/0194382	10/16/03	Chang			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 01/34121	05/17/01	EP				
		WO 99/62472	12/9/99	EP				
		WO 03/015656	02/27/03	EP				
		2003137756	05/14/03	JP				
		2003-0059552	07/10/03	KR				
		1006548	07/10/97	Netherlands				
		WO2004/071323	8/26/04	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.